

CHANGES APRIL 1, 2002 THROUGH MAY 31, 2002

TITLE 17—COMMODITY AND SECURITIES EXCHANGES

Chapter I—Commodity Futures Trading Commission (Parts 1—199)

11.4 (e) and (f) added37322
 30 Order30785
 41 Authority citation revised36761
 41.1 (j), (k) and (l) added36761
 41.25 (a)(2) and (d) added; (b) re-vised36761

Chapter II—Securities and Exchange Commission (Parts 200—399)

200.30-7 (a)(12) added; authority citation removed30326
 230 Authority citation amended19673
 230.134b Revised19868
 230.403 (c) revised; authority citation removed36698
 230.405 Amended19673
 230.430 (b) introductory text re-vised19868
 230.430A (e) revised19869
 230.493 Revised36699
 230.495 (a), (c) and (d) revised19869
 230.496 Revised19869
 230.497 (c) and (e) revised19869
 232.100 (a) and (c) revised36699
 232.101 (a)(1)(iii) note, (c)(16) and (17) redesignated as (a)(1)(iii) Note 1, (c)(15) and (16); (a)(1)(iii) Note 2, (a)(1)(vi), (vii), (viii) and (b)(7) through (10) added; (a)(1)(iv), (v), (c)(5), (6), (14), new (c)(15) and (16) amended; (b)(1), (6) and (c)(9) revised; (c)(15) and (d) removed36699
 232.303 (b) revised36700
 232.306 (a) revised; (b) redesignated as (e); new (b), (c) and (d) added36700
 232.311 (f), (g) and (h) redesignated as (h), (i) and (j); new (f) and (g) added36700
 232.601 Removed36700
 239 Authority citation amended19869
 239.17c Added19870
 239.31 Form F-1 amended36700

239.32 Form F-2 amended36701
 239.33 Form F-3 amended36701
 239.34 Form F-4 amended36701
 239.36 Form F-6 amended36702
 239.37 Form F-7 amended36702
 239.38 Form F-8 amended36702
 239.39 Form F-9 amended36703
 239.40 Form F-10 amended36703
 239.41 Form F-80 amended36704
 239.42 Form F-X amended36709
 239.800 Form CB amended36707
 240.3a4-1 Undesignated section heading removed19673
 240.3a11-1 Revised19673
 240.6h-1 Added36762
 240.12b-12 (d) revised; authority citation removed36704
 240.13e-102 Amended36705
 240.14d-102 Amended36705
 240.14d-103 Amended36706
 249.220f Form 20-F amended36706
 249.306 Form 6-K amended36708
 249.240f Form 40-F amended36707
 249.250 Form F-X amended36709
 249.480 Form CB amended36707
 269.5 Form F-X amended36709
 270 Authority citation amended19870
 270.8b-11 Authority citation re-moved; (b) revised19870
 270.8b-12 (b) revised19870
 270.10f-3 (b)(1) and (4) revised31079
 274 Authority citation revised; Form N-1A amended19870
 274.11d Added19870
 274.12 Revised; Form N-8B-2 amended; Form N-6 added19870
 274.101 Form N-SAR amended31079

Proposed Rules:

319358
 3720702
 3820702
 3920702
 4020702
 22835620
 22919896, 35620
 23019914, 36712
 23919886, 19914, 36712
 24019896, 30628
 24919896, 19914, 35620
 27031081, 36712
 27419886, 36712
 27519500
 27919500